



IPW
PATENT
EMC-04-008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Faulkner et al *GROUP ART UNIT:* 2838
U.S.S.N.: 10/812,429 *CONFIRMATION NO.:* 3352
FILING DATE: March 30, 2004 *EXAMINER:* **To be Assigned**
CUSTOMER NO.: **24227**

TITLE: *METHOD AND SYSTEM FOR PROVIDING FAULT PROTECTION IN
A POWER SUPPLY SYSTEM*

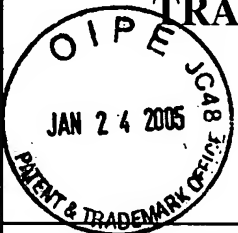
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR 1.56, the information cited in the accompanying Form PTO-1449 is being brought to the attention of the U.S. Patent Office in connection with examination of the subject application. No admission is being made that the cited information in fact constitutes prior art or is material prior art. It is respectfully requested that the U.S. Patent Office conduct its own prior art search, and independently evaluate the results of such search and the cited information, in independently determining patentability of the claimed invention.

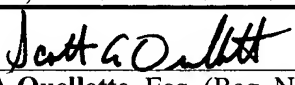
This Information Disclosure Statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or

| | | |
|---|-----------------------|----------------------------|
|  TRANSMITTAL FORM | Application No.: | 10/812,429 |
| | Filing Date: | March 30, 2004 |
| | First Named Inventor: | Michael A. Faulkner |
| | Group Art Unit | 2838 |
| | Examiner: | To be assigned |
| | Customer No. | 24227 |
| Total Number of Pages in this Submission: | | 24 |
| Docket No. | | EMC-04-008 |

ENCLOSURES (check all that apply)

| | | |
|---|---|---|
| <input checked="" type="checkbox"/> Authorization for the Commissioner to charge Deposit Account No. 05-0889 for fees associated with this transaction (in duplicate) <input type="checkbox"/> Assignment <input type="checkbox"/> Recordation Cover Sheet <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Decl. <input type="checkbox"/> Extension of Time Request for 3 Months <input checked="" type="checkbox"/> Information Disclosure Statement w/ 19 pages of Non Patent Literature Attached (22 pages total) <input type="checkbox"/> Request to Correct Official Filing Receipt and copy of Official Filing Receipt with revisions indicated in red ink. <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Response to Notice to File Missing Parts of Nonprovisional Application <input type="checkbox"/> Declaration/Power of Attorney <input type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application <input type="checkbox"/> Revocation of Power of Attorney <input type="checkbox"/> Issue Fee Payment on USPTO Form PTOL-85B, "Part B—Issue Fee Transmittal" <input type="checkbox"/> Formal Drawings, labeled <input type="checkbox"/> Letter/Transmittal to Official Draftsperson <input type="checkbox"/> Copy of PTO 948, "Notice of Draftsperson's Patent Review" <input type="checkbox"/> Additional Enclosures: Copy of USPS Track & Confirm, Copy of Express Mailing Label | <input type="checkbox"/> Petition for Revival of an Unintentionally Abandoned Application [37 CFR 1.137(b)] <input type="checkbox"/> Continued Prosecution Application (CPA) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Copy of Original Utility Patent Transmittal <input checked="" type="checkbox"/> Certificate of First Class Mailing <input type="checkbox"/> Certificate of Express Mail Mailing <input checked="" type="checkbox"/> Postcard |
|---|---|---|

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

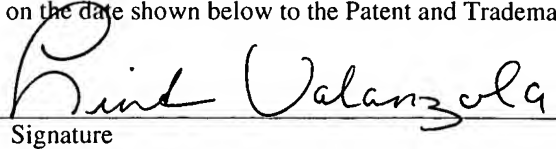
| | |
|---|---|
| Date <u>1/20/05</u> Tel: (508) 293-7835 Fax: (508) 293-7189 |  Scott A Ouellette, Esq. (Reg. No. 38,573) EMC Corporation Office of the General Counsel 176 South Street Hopkinton, MA 01748 |
|---|---|

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8]

I hereby certify that this correspondence and above-referenced enclosures are being:

☒ Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

☐ Transmitted by facsimile on the date shown below to the Patent and Trademark Office at (703)

Date 1/20/05


 Signature

Linda Valanzola

Typed or printed name of person signing certificate

Applicant: Faulkner *et al.*
U.S.S.N. 10/812,429
Filing Date: March 30, 2004
Atty. Docket No.: EMC-04-008

-2-

before the mailing date of a first Office Action on the merits, which event occurs last. (§37 CFR §1.97(b)).

Applicant believes that no fees are due with this submission. However, if this is not the case, please charge any fees occasioned by this submission to Deposit Account 05-0889.

Respectfully submitted,

Dated: 1/20/05



Scott A. Ouellette (Reg. No. 38,573)
Attorney for Applicant
EMC Corporation
176 South Street
Hopkinton, MA 01748
Telephone: 508-293-7835
Facsimile: 508-293-7189

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

4

of

1

Complete if Known

Application Number

10/812,429

Filing Date

March 30, 2004

First Named Inventor

Michael A. Faulkner

Art Unit

2838

Examiner Name

To be Assigned

Attorney Docket Number

EMC-04-008

NON PATENT LITERATURE DOCUMENTS

[illegible]Examiner
SignatureDate
Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEND TO: Commissioner For Patents, P.O. Box 1540, Alexandria, VA 22313-1450.